IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the Application of

KAGA et al

Serial No.: 10/768,174

Filed: February 2, 2004

For: HYPERBRANCHED POLYMERS DERIVED FROM ANHYDROSUGAR-

RELATED COMPOUNDS AND PROCESS FOR THE PREPARATION

THEREOF

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Responsive to the notice dated July 26, 2004, (copy attached), submitted herewith is a clean copy of the Specification and Abstract file with the original application papers on February 2, 2004. The attached is believed to be in compliance with 37 CFR 1.52, 1.121(b)(3) and 1.125.

Attention is directed to applicants' Preliminary Amendment also filed with the original application papers on February 2, 2004.

Any fees required may be charged to Deposit Account No. 12-217

Respectfully submitted

Reg. No. 25,814

Dated: September 20, 2004

LORUSSO, LOUD & KELLY 3137 Mount Vernon Avenue Alexandria, VA 22305 (703) 739-9393 United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Viginia 22313-1450 www.uspiu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/768,174

3137 Mount Vernon Avenue

LORUSSO & LOUD

Alexandria, VA 22305

02/02/2004

Harumi Kaga

CONFIRMATION NO. 3641

FORMALITIES LETTER

OC00000013345292

Date Mailed: 07/26/2004

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The
 specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly
 stored because:
 - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1-14 are not in compliance with 37 CFR 1.52(a).

Replies should be mailed to:

Mail Stop Missing Parts

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Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE